REMARKS

In view of the above amendments and the following remarks, reconsideration of the rejections contained in the Office Action of December 19, 2001 is respectfully requested.

The Examiner has objected to the disclosure due to an informality regarding variables shown in chemical formulas. In view of this objection, and in order to make several additional editorial corrections, the entire specification and abstract have now been reviewed and revised. As the revisions are quite extensive, the amendments to the specification and abstract have been incorporated into the attached substitute specification and abstract. For the Examiner's convenience, a copy of the marked-up original specification and abstract is also enclosed, and the marked-up pages are captioned "Version with markings to show changes made." The substitute specification and abstract includes the same changes as are indicated in the marked-up copy of the original specification. No new matter has been added by the revisions. Entry of the substitute specification is thus respectfully requested.

The Examiner has rejected claims 2, 10-12, 15, 24-26 and 55 under 35 USC §112, second paragraph, as being indefinite. In particular, the Examiner asserts that the term "like" recited in the claim is ambiguous, and that the phrase "... trap is ... outside ... window" is unclear because it is not certain what is meant by "outside." In view of these rejections and in order to place the claims in a preferred form, the original claims have been amended as indicated above. In addition, the non-elected claims have been cancelled, and it is submitted that all of the remaining claims read on the elected invention. In view of the above, it is submitted that the Examiner's rejections under §112 have been overcome.

The Examiner has rejected claims 2, 11-12, 15, 25-26 and 55 under 35 USC §102(a) as being anticipated by the Higuchi reference (USP 5,783,492), and asserts that the Yoshida reference (USP 5,690,781) is equivalent to the Higuchi reference but does not disclose a frequency range. Furthermore, the Examiner has rejected claims 10 and 24 under 35 USC §103 as being unpatentable over the Higuchi reference and further in view of the Chen reference (USP 5,824,605). However, the elected claims (in particular, independent claims 2 and 15) have been amended in order to clarify the distinctions between the present invention and the prior art. Therefore, for the reasons discussed

below, it is respectfully submitted that amended independent claims 2 and 15 and the claims that depend therefrom are clearly patentable over the prior art of record.

Independent claim 2 is directed to a plasma processing method, while independent claim 15 is directed to a plasma processing apparatus. Both claims, however, have been amended to recite that the groove-shaped plasma trap is arranged in an upper inner surface of the vacuum chamber so that an outer diameter of the plasma trap is smaller than an inner side surface diameter of the vacuum chamber, and so that the upper inner surface of the vacuum chamber includes a metallic surface portion between the outer periphery of the plasma trap and the inner side surface of the vacuum chamber so that the metallic surface portion opposes the substrate.

A discussion of the arrangement and advantages of the present invention as recited in amended independent claims 2 and 15 will now be made with reference to the specification and drawings of the present application. However, reference to the specification and drawings is provided only to aid the Examiner's understanding, and is not intended to limit the scope of the claims to the specific embodiments discussed. As shown in Figures 10 and 11, the annular, groove-shaped plasma trap 9 is arranged in the upper inner surface of the vacuum chamber 1 so that the outer diameter of the plasma trap is smaller than the diameter of the inner side surface of the vacuum chamber. In addition, although the dielectric window 14 forms a portion of the upper inner surface of the vacuum chamber, the plasma trap 9 is arranged so that the upper inner surface of the vacuum chamber includes a metallic surface portion between the outer periphery of the plasma trap and the inner side surface of the vacuum chamber so that the metallic surface portion opposes the substrate (see pages 41 and 42 of the original specification).

Because the outer diameter of the plasma trap is smaller than the inner side surface diameter of the vacuum chamber, generation of plasma in an ideal shape with excellent uniformity is possible as discussed in the specification. In addition, because a metallic surface portion is located between the outer periphery of the plasma trap and the inner side surface of the vacuum chamber so as to oppose the substrate, the plasma generation will have maximum density at the plasma trap because the electromagnetic waves transmitted from the antenna toward the metallic surface portion will be

reflected by the metallic surface back toward the antenna so as to increase the electric field strength at the plasma trap. As a result, even greater conditions for plasma generation can be achieved:

The Higuchi reference discloses a plasma processing method and apparatus including a vessel 201 and an upper wall recess portion 211. The Examiner appears to take the position that a plasma trap is formed around the recess portion as shown in Figures 9 and 10 of the Higuchi reference. However, as clearly shown in these Figures, the Higuchi reference does not disclose or suggest that the outer diameter of the plasma trap is smaller than the inner side surface diameter of the vacuum chamber. Furthermore, the Higuchi reference does not disclose or suggest that the plasma trap is arranged so that the upper inner surface of the vacuum chamber includes a metallic surface portion between the outer periphery of the plasma trap and the inner side surface of the vacuum chamber so that the metallic surface portion opposes the substrate.

The Yoshida reference discloses a plasma processing apparatus including a chamber having a dielectric plate window 4 with a thick portion forming recess areas on either side as shown in Figures 1A, 6A and 10. However, the Yoshida reference also does not disclose or suggest a plasma trap having an outer diameter that is smaller than an inner side surface diameter of the vacuum chamber. In addition, the Yoshida reference also does not disclose or suggest that an upper inner surface of the vacuum chamber includes a metallic surface portion between the outer periphery of the plasma trap and the inner side surface of the vacuum chamber so that the metallic surface portion opposes the substrate. Finally, the Yoshida reference also does not disclose or suggest supplying a high-frequency power having a frequency of 50 MHz to 3 GHz.

The Chen reference is directed to a gas dispersion window for a plasma apparatus including a dielectric window 18 having a dielectric member 18b as shown in Figure 4. However, as clearly shown in the sectional view of Figure 4, the dielectric (non-metallic) member 18b extends to the inner side surface of the vacuum chamber. Thus, the Chen reference clearly does not disclose or suggest that an upper inner surface of the vacuum chamber includes a metallic surface portion between an outer periphery of the plasma trap and an inner side surface of the vacuum chamber so that the metallic surface portion opposes the substrate.

As explained above, the Higuchi reference, the Yoshida reference, and the Chen reference, do not either alone or in combination, disclose or suggest the plasma trap and metallic surface portion arranged as recited in amended independent claims 2 and 15. Therefore, it is submitted that one of ordinary skill in the art would not be motivated to modify or combine the references so as to obtain the invention recited in independent claims 2 and 15. Accordingly, it is respectfully submitted that amended independent claims 2 and 15 and the claims that depend therefrom are clearly patentable over the prior art of record.

In view of the above amendments and remarks, it is submitted that the present application is now in condition for allowance. However, if the Examiner should have any comments or suggestions to help speed the prosecution of this application, the Examiner is requested to contact the Applicants' undersigned representative.

Respectfully submitted,

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Version with Markings to Show Changes Made

14/2

2. (Amended) A plasma processing method for generating plasma within a vacuum chamber and processing a substrate placed on a substrate electrode within the vacuum chamber, the method comprising:

generating the plasma by radiating electromagnetic waves into the vacuum chamber via a dielectric window provided [opposite to] on an upper inner surface of the vacuum chamber opposing the substrate by supplying a high-frequency power having a frequency of 50 MHZ to 3 GHz to an antenna while an interior of the vacuum chamber is [controlled to] maintained at a specified pressure by introducing gas into the vacuum chamber and, simultaneously therewith, evacuating the interior of the vacuum chamber; and

processing the substrate by using the generated plasma while plasma distribution of the plasma on the substrate is controlled by an annular, [groove-like] groove-shaped plasma trap [provided opposite to the substrate] arranged in the upper inner surface of the vacuum chamber such that an outer diameter of the plasma trap is smaller than an inner side surface diameter of the vacuum chamber and such that the upper inner surface of the vacuum chamber includes a metallic surface portion between the outer periphery of the plasma trap and the inner side surface of the vacuum chamber so that the metallic surface portion opposes the substrate.

- 3. (Amended) A plasma processing method according to Claim [1] 2, wherein the substrate is processed while a portion of the upper inner surface of the vacuum chamber opposing the substrate and surrounded by the plasma trap [out of a surface forming an inner wall surface of the vacuum chamber and opposing the substrate] has an area 0.5 to 2.5 times that of the substrate.
- 4. (Amended) A plasma processing method according to Claim [1] 2, wherein the substrate is processed while the plasma trap has a groove width of 3 mm to 50 mm.
- 5. (Amended) A plasma processing method according to Claim [1] 2, wherein the substrate is processed while the plasma has a groove depth of not less than 5 mm.
- 10. (Amended) A plasma processing method according to Claim 2, wherein the plasma is generated while the plasma trap is <u>arranged in the dielectric window such that the outer diameter of the plasma trap is less than the outer diameter of [provided in] the dielectric window.</u>
- 11. (Amended) A plasma processing method according to Claim 2, wherein the plasma is generated while the plasma trap is <u>arranged in the upper inner surface of the vacuum chamber such that an inner diameter of the plasma trap is larger than an outer diameter of [provided outside] the dielectric window.</u>
- 12. (Amended) A plasma processing method according to Claim 2, wherein the upper inner surface of the vacuum chamber includes a dielectric window surface portion formed by the dielectric window and includes a vacuum chamber upper surface wall portion formed by an upper vacuum chamber wall, the plasma is generated while the plasma trap is arranged in the upper inner surface of the vacuum chamber [provided] between the vacuum chamber upper surface wall portion and the dielectric window surface portion.

15. (Amended) A plasma processing apparatus comprising:

a vacuum chamber <u>having an upper inner surface opposing a substrate to be placed</u> in the vacuum chamber and an inner side <u>surface</u>;

a gas supply unit for supplying gas into the vacuum chamber;

an evacuating device for evacuating an interior of the vacuum chamber;

a substrate electrode for placing thereon [a] the substrate within the vacuum chamber;

a dielectric window provided opposite to the substrate electrode and forming a portion of the upper inner surface of the vacuum chamber;

an antenna for radiating electromagnetic waves into the vacuum chamber via the dielectric window;

<u>a</u> high-frequency power supply capable of supplying a high-frequency power having a frequency of 50 MHz to 3 GHz to the antenna; and

an annular, [groove-like] groove-shaped plasma trap [provided opposite to the substrate] arranged in the upper inner surface of the vacuum chamber such that an outer diameter of the plasma trap is smaller than the inner side surface diameter of the vacuum chamber and such that the upper inner surface of the vacuum chamber includes a metallic surface portion between the outer periphery of the plasma trap and the inner side surface of the vacuum chamber so that the metallic surface portion opposes the substrate.

- 16. (Amended) A plasma processing apparatus according to Claim [14] 15, wherein the upper inner surface of the vacuum chamber includes a portion surrounded by the plasma trap [out of a surface forming an inner wall surface of the vacuum chamber and opposing the substrate has] having an area 0.5 to 2.5 times that of the substrate.
- 17. (Amended) A plasma processing apparatus according to Claim [14] 15, wherein the plasma trap has a groove width of 3 mm to 50 mm.
- 24. (Amended) A plasma processing apparatus according to Claim 15, wherein the plasma trap is arranged in the dielectric window such that the outer diameter of the plasma trap is less than the outer diameter of [provided in] the dielectric window.
- 25. (Amended) A plasma processing apparatus according to Claim 15, wherein the plasma trap is arranged in the upper inner surface of the vacuum chamber such that an inner diameter of the plasma trap is larger than an outer diameter of [provided outside] the dielectric window.
- 26. (Amended) A plasma processing apparatus according to Claim 15, wherein the upper inner surface of the vacuum chamber includes a dielectric window surface portion formed by the dielectric window and includes a vacuum chamber upper surface wall portion formed by an upper vacuum chamber wall, the plasma trap is arranged in the upper inner surface of the vacuum chamber [provided] between the vacuum chamber upper surface wall portion and the dielectric window surface portion.

SPECIFICATION

TITLE OF THE INVENTION

Plasma Processing Method and Apparatus

TECHNOLOGY CENTER

BACKGROUND OF THE INVENTION

The present invention relates to plasma processing methods such as dry etching, sputtering, and plasma CVD, as well as apparatuses therefor, to be used for manufacture of semiconductor or other electron devices and micromachines. More particularly, the present invention relates to plasma processing method and apparatus for use of plasma excited with high-frequency power of VHF or UHF band.

further relates invention present The matching box for plasma processing apparatus to be used for impedance matching in supplying high-frequency power of VHF band, in particular, to a counter electrode for plasma √ ⊅lasma relates and excitation or to an antenna, processing method and apparatus using plasma excited with the high-frequency power of VHF band.

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Whereas Japanese Laid-Open Patent Publication No. 8-83696 describes that use of high-density plasma is important in order to meet the trend toward microstructures of semiconductors and other electron devices low electron temperature plasma has recently been receiving attention by virtue of its high electron density and low electron

temperature.

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In the case where a gas having a high negativity, i.e., a gas that tends to generate negative ions, such as into plasma, when is formed the electron temperature becomes about 3 eV or lower, larger amounts of negative ions are generated than with higher electron temperatures. Taking advantage of this phenomenon makes it possible to prevent etching configuration abnormalities, so-called notch, which may occur when positive charges are accumulated at the bottom of micro-patterns excessive incidence of positive ions. This allows etching of extremely micro patterns to be achieved with high MAKESUBSOCIPT precision.

Also, in the case where a gas containing carbon and fluorine, such as Oxfy or Cxfyfz (where x, y, z are natural numbers), which is generally used for etching of insulating films such as silicon oxide, is formed into plasma, when the electron temperature becomes about 3 eV or lower, gas dissociation is suppressed more than with higher electron temperatures, where, in particular, generation of F atoms, F radicals and the like is suppressed. Because F atoms, F radicals and the like are higher in the rate of silicon etching, insulating film etching can be carried out at larger selection ratios to silicon etching the more with lower electron temperatures.

Also, when the electron temperature becomes 3 eV or lower, ion temperature and plasma potential also becomes lower, so that ion damage to the substrate in plasma CVD can be reduced.

As a technique capable of generating plasma having low electron temperature, plasma sources using high-frequency power of VHF band or UHF band are now receiving attention.

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Fig. 15 is a sectional view of a dual-frequency excitation parallel-flat plate 10 type plasma processing apparatus. Referring to Fig. 15, while interior of a vacuum chamber 201 is maintained (AT) a specified pressure by introducing a specified gas from a gas supply unit 202 into vacuum chamber 201 and simultaneously performing the evacuation by a pump 203 as an evacuating device, a high-15 frequency power of 100 MHz is supplied to a counter electrode 205 by a counter-electrode-use-high-frequency Then, plasma is generated in the vacuum power supply 204. chamber 201, where plasma processing such as etching, deposition, and surface reforming can be carried out on a 20 substrate 207 placed on a substrate electrode 206. In this case, as shown in Fig. 15, by supplying high-frequency power also to the substrate electrode 206 by a substrateelectrode-use-high-frequency power supply 208, ion energy that reaches the substrate 207 can be controlled. 25 In addition, the counter electrode 205 is insulated from the vacuum chamber 201 by an insulating ring 211.

16 is sectional view of plasma processing apparatus which we have already proposed and which has an antenna type plasma source mounted thereon. while vinterior of a vacuum chamber Referring to Fig. 16, 301 is maintained to a specified pressure by introducing a specified gas from a gas supply unit 302 into the vacuum chamber 301 and simultaneously performing evacuation by a pump 303 as an evacuating device, a high-frequency power of 100 MHz is supplied to a spiral antenna 313 on a dielectric. window 314 by an antenna-use-high-frequency power supply 312. Then, plasma is generated in the vacuum chamber 301 by electromagnetic waves radiated into the vacuum chamber 301, where plasma processing such as etching, deposition, and surface reforming can be carried out on a substrate 307 placed on a substrate electrode 306. In this case, as shown in Fig. 16, by supplying high-frequency power also to the substrate electrode 306 by a substrate-electrode-usehigh-frequency power supply 308, ion energy that reaches the substrate 307 can be controlled.

However, there has been an issue that the conventional methods shown in Figs. 15 and 6 have difficulty in obtaining uniform the plasma.

Fig. 17 shows results of measuring ion saturation

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current density at a position (20 mm just) above the substrate 207 in the plasma processing apparatus of Fig. 15.

Conditions for plasma generation are gas type of Cl₂ and gas flow rate of 100 sccm, a pressure of 1 Pa, and a high-frequency power of 2 kW. It can be understood from Fig. 17 that plasma density is higher in peripheral regions.

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Fig. 18 shows results of measuring ion saturation current density at a position (20 mm just) above the substrate 307 in the plasma processing apparatus of Fig. 16. Conditions for plasma generation are gas type of Cl₂ and gas flow rate of 100 sccm, a pressure of 1 Pa, and a high-frequency power of 2 kW. It can be understood from Fig. 18 that plasma density is higher in peripheral regions.

Such nonuniformity of plasma is phenomenon that could not be seen with the frequency of the high-frequency power of 50 MHz or less. Whereas the 50 MHz or higher high-frequency power needs to be used in order to lower the electron temperature of plasma, there are produced, in this frequency band, not only an advantage that plasma is generated by the counter electrode or antenna being capacitively or inductively coupled to the plasma, but also an advantage that plasma is generated by electromagnetic waves, which are radiated from the counter electrode or antenna, propagating on the surface of the plasma. In peripheral regions of the vacuum chamber, which serve as

propagated on the surface of the plasma, stronger electric fields are developed so that thick plasma is generated.

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Also, as described above, in the case where a gas having a high negativity, i.e., a gas that tends to generate negative ions, such as Cl2, SF6, is formed into plasma, when the electron temperature becomes about 3 eV or lower, larger amounts of negative ions are generated than with higher electron temperatures. Taking advantage of this phenomenon makes it possible to prevent a phenomenon that perpendicularity of the incident angle of ions onto the substrate worsens when positive charges are accumulated at the bottom of micro-patterns due to excessive incidence of positive ions. This allows etching of extremely micro patterns to be achieved with high precision. Besides, that is an expectation for process improvement making use of the MAKE SUBSCRIPT high reactivity of negative ions.

Also, in the case where a gas containing carbon and fluorine, such as (XFV) or CXHOFZ (where x, y, z are natural numbers), which is generally used for etching of insulating films such as silicon oxide, is formed into plasma, when the electron temperature becomes about 3 eV or lower, gas dissociation is suppressed more than with higher electron temperatures, where, in particular, generation of F atoms, F radicals and the like is suppressed. Because F

atoms, F radicals and the like are higher in the rate of silicon etching, insulating film etching can be carried out at larger selection ratios to silicon etching the more with lower electron temperatures.

Also, when the electron temperature becomes 3 eV or lower, ion temperature and plasma potential also become lower, so that ion damage to the substrate in plasma CVD can be reduced.

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It is plasma sources using high-frequency power of VHF band that is currently receiving attention as a technique capable of generating plasma low in electron temperature and capable of generating plasma superior in ignitability.

Fig. 24 is a sectional view of a dual-frequency type plasma processing excitation parallel-flat plate while interior of a Referring to Fig. apparatus. vacuum chamber 401 is maintained (AT) a specified pressure by introducing a specified gas from a gas supply unit 402 into simultaneously performing and the vacuum chamber 401 evacuation by a pump 403 as an evacuating device, a high-100 MHz is supplied to a counter frequency power of electrode 407 via a matching box 405 and a high-frequency coupling device (mount) 406 by a counter-electrode-use-Then, plasma is generated high-frequency power supply 404. in the vacuum chamber 401, where plasma processing such as

etching, deposition, and surface reforming can be carried out on a substrate 409 placed on a substrate electrode 408.

In this case, as shown in Fig. 24, by vsupplying highfrequency power also to the substrate electrode 408 by a
substrate-electrode-use-high-frequency power supply 410,
ion energy that reaches the substrate 409 can be controlled.
In addition, the counter electrode 407 is insulated from
the vacuum chamber 401 by an insulating ring 411. The
matching box 405 comprises a high-frequency input terminal
412, a first variable capacitor 413, a high-frequency
output terminal 414, a second variable capacitor 415, a
first motor 416, a second motor 417, and a motor control
circuit 418.

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However, there has been an issue that the conventional method shown in Fig. 24 has difficulty in obtaining uniform plasma.

Fig. 25 shows results of measuring ion saturation current density at a position 20 mm just above the substrate 409 in the plasma processing apparatus of Fig. 24. Conditions for plasma generation are gas type of Cl₂ and gas flow rate of 100 sccm, a pressure of 2 Pa and a high-frequency power of 1 kW. Also, as shown in Fig. 24, the second variable capacitor 415 is disposed on one side of the measuring position in Fig. 25. It can be understood from Fig. 25 that plasma density is higher on one side of

the measuring position, i.e., just below the second variable capacitor 415.

Such nonuniformity of plasma is phenomenon that could not be seen with the frequency of the high-frequency power of 50 MHz or less. Whereas the 50 MHz or higher high-frequency power needs to be used in order to lower the electron temperature of plasma, there develops, in this frequency band, a potential distribution in the counter electrode 407. It can be deduced that this potential distribution, affected by the placement of the second variable capacitor 415 within the matching box 405, acts to strengthen the electric fields just below the second variable capacitor 415, resulting in nonuniformity of plasma.

Such a phenomenon could be seen with such an arrangement as shown in Fig. 26 in which a spiral antenna 420 is used instead of the counter electrode 407. In the prior art example shown in Fig. 26, a dielectric window 421 is used.

20 SUMMARY OF THE INVENTION

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In view of these issues of the prior oft, an object of the present invention is to provide plasma processing method and apparatus, as well as a matching box for plasma processing apparatus, capable of generating uniform plasma.

In order to achieve the above object, the present invention has the following constitutions.

In accomplishing these and other aspects, according to a first aspect of the present invention, there is provided a plasma processing method for generating plasma within a vacuum chamber and processing a substrate placed on a substrate electrode within the vacuum chamber, the method comprising.

frequency power having a frequency of 50 MHz to 3 GHz to a counter electrode provided opposite to the substrate while interior of the vacuum chamber is controlled to a specified pressure by introducing gas into the vacuum chamber and, simultaneously therewith, evacuating the interior of the vacuum chamber and

processing the substrate by using the generated plasma while plasma distribution of the plasma on the substrate is controlled by an annular, groove-like plasma trap provided opposite to the substrate.

According to a second aspect of the present invention, there is provided a plasma processing method for generating plasma within a vacuum chamber and processing a substrate placed on a substrate electrode within the vacuum chamber, the method comprising:

generating the plasma by radiating electromagnetic

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waves into the vacuum chamber via a dielectric window provided opposite to the substrate by supplying a high-

frequency power having a frequency of 50 MHz to 3 GHz to an antenna while interior of the vacuum chamber is controlled to a specified pressure by introducing gas into the vacuum chamber and, simultaneously therewith, evacuating the interior of the vacuum chamber; and

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processing the substrate by using the generated plasma while plasma distribution of the plasma on the substrate is controlled by an annular, groove-like plasma trap provided opposite to the substrate.

According to a third aspect of the present invention, there is provided a plasma processing method according to the first aspect, wherein the substrate is processed while a portion surrounded by the plasma trap out of a surface forming an inner wall surface of the vacuum chamber and opposing the substrate has an area 0.5 to 2.5 times that of the substrate.

According to a fourth aspect of the present invention, there is provided a plasma processing method according to the first aspect, wherein the substrate is processed while the plasma trap has a groove width of 3 mm to 50 mm.

According to a fifth aspect of the present invention, there is provided a plasma processing method

according to the first aspect, wherein the substrate is processed while the plasma has a groove depth of not less than 5 mm.

According to a sixth aspect of the present invention, there is provided a plasma processing method according to the first aspect, wherein the substrate is processed while the plasma trap is provided in the counter electrode.

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According to a seventh aspect of the present invention, there is provided a plasma processing method according to the first aspect, wherein the plasma is generated while the plasma trap is provided outside an insulating ring for insulating the vacuum chamber and the counter electrode from each other.

According to an eighth aspect of the present invention, there is provided a plasma processing method according to the first aspect, wherein the plasma is generated while the plasma trap is provided between the counter electrode and an insulating ring for insulating the vacuum chamber and the counter electrode from each other.

According to a ninth aspect of the present invention, there is provided a plasma processing method according to the first aspect, wherein the plasma is generated while the plasma trap is provided between the vacuum chamber and an insulating ring for insulating the

vacuum chamber and the counter electrode from each other.

According to a 10th aspect of the present invention, there is provided a plasma processing method according to the second aspect, wherein the plasma is generated while the plasma trap is provided in the dielectric window.

According to an 11th aspect of the present invention, there is provided a plasma processing method according to the second aspect, wherein the plasma is generated while the plasma trap is provided outside the dielectric window.

According to a 12th aspect of the present invention, there is provided a plasma processing method according to the second aspect, wherein the plasma is generated while the plasma trap is provided between the vacuum chamber and the dielectric window.

According to a 13th aspect of the present invention, there is provided a plasma processing method according to the first aspect, wherein the plasma is generated while DC magnetic fields are absent within the vacuum chamber.

According to a 14th aspect of the present invention, there is provided a plasma processing apparatus comprising:

a vacuum chamber;

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a gas supply unit for supplying gas into the vacuum chamber;

an evacuating device for evacuating interior of the vacuum chamber;

a substrate electrode for placing thereon a substrate within the vacuum chamber;

a counter electrode provided opposite to the substrate electrode;

(high-frequency power supply capable of supplying a high-frequency power having a frequency of 50 MHz to 3 GHz to the counter electrode; and

an annular, groove-like plasma trap provided opposite to the substrate.

According to a 15th aspect of the present invention, there is provided a plasma processing apparatus comprising:

a vacuum chamber;

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a gas supply unit for supplying gas into the vacuum chamber;

an evacuating device for evacuating interior of the vacuum chamber;

a substrate electrode for placing thereon a substrate within the vacuum chamber;

a dielectric window provided opposite to the substrate electrode;

into the vacuum chamber via the dielectric window;

A high-frequency power supply capable of supplying a high-frequency power having a frequency of 50 MHz to 3 GHz to the antenna; and

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(an annular, groove-like plasma trap provided opposite to the substrate.

According to a 16th aspect of the present invention, there is provided a plasma processing apparatus according to the 14th aspect, wherein a portion surrounded by the plasma trap out of a surface forming an inner wall surface of the vacuum chamber and opposing the substrate has an area 0.5 to 2.5 times that of the substrate.

According to a 17th aspect of the present invention, there is provided a plasma processing apparatus according to the 14th aspect, wherein the plasma trap has a groove width of 3 mm to 50 mm.

According to a 18th aspect of the present invention, there is provided a plasma processing apparatus according to the 14th or 15th aspect, wherein the plasma has a groove depth of not less than 5 mm.

According to a 19th aspect of the present invention, there is provided a plasma processing apparatus according to the 14th aspect, wherein the plasma trap is provided in the counter electrode.

According to a 20th aspect of the present invention, there is provided a plasma processing apparatus according to the 14th aspect, wherein the plasma trap is provided in an insulating ring for insulating the vacuum chamber and the counter electrode from each other.

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According to a 21st aspect of the present invention, there is provided a plasma processing apparatus according to the 14th aspect, wherein the plasma trap is provided outside an insulating ring for insulating the vacuum chamber and the counter electrode from each other.

According to a 22nd aspect of the present invention, there is provided a plasma processing apparatus according to the 14th aspect, wherein the plasma trap is provided between the counter electrode and an insulating ring for insulating the vacuum chamber and the counter electrode from each other.

According to a 23rd aspect of the present invention, there is provided a plasma processing apparatus according to the 14th aspect, wherein the plasma trap is provided between the vacuum chamber and an insulating ring for insulating the vacuum chamber and the counter electrode from each other.

According to a 24th aspect of the present invention, there is provided a plasma processing apparatus according to the 15th aspect, wherein the plasma trap is

provided in the dielectric window.

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According to a 25th aspect of the present invention, there is provided a plasma processing apparatus according to the 15th aspect, wherein the plasma trap is provided outside the dielectric window.

According to a 26th aspect of the present invention, there is provided a plasma processing apparatus according to the 15th aspect, wherein the plasma trap is provided between the vacuum chamber and the dielectric window.

According to a 27th aspect of the present invention, there is provided a plasma processing apparatus according to the 14th aspect, wherein no coil or permanent magnet for applying DC magnetic fields is provided within the vacuum chamber.

According to a 28th aspect of the present invention, there is provided a plasma processing apparatus according to the first aspect, further comprising a matching box for use in the plasma processing apparatus and for taking impedance matching in supplying high-frequency power to a load, the matching box comprising.

a high-frequency input terminal; 4

a first reactive element having one end connected to the high-frequency input terminal and the other end connected to a matching box casing;

 $^{\ell}$ a high-frequency output terminal; and \longleftrightarrow

connected to the high-frequency input terminal and the other end connected to the high-frequency output terminal

wherein the second reactive element and the highfrequency output terminal are so arranged that the second
reactive element is located on a straight line passing
through a center axis of the high-frequency output terminal.

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According to a 29th aspect of the present invention, there is provided a plasma processing apparatus according to the 28th aspect, wherein the first reactive element and the second reactive element are capacitors, respectively.

According to a 30th aspect of the present invention, there is provided a matching box for use in a plasma processing apparatus and for taking impedance matching in supplying high-frequency power to a load. The matching box comprising:

(a high-frequency input terminal;

a first reactive element having one end connected to the high-frequency input terminal and the other end connected to a matching box casing;

a high-frequency output terminal; and

a second reactive element having one end connected to the high-frequency input terminal and the

other end connected to the high-frequency output terminal 5.

wherein the second reactive element and the high-

frequency output terminal are so arranged that the second reactive element is located on a straight line passing through a center axis of the high-frequency output terminal.

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According to a 31st aspect of the present invention, there is provided a matching box for a plasma processing apparatus according to the 30th aspect, wherein the second reactive element and the high-frequency output terminal are so arranged that a straight line passing through a center axis of the second reactive element and a straight line passing through the center axis of the high-frequency output terminal are generally coincident with each other.

According to a 32nd aspect of the present invention, there is provided a matching box for a plasma processing apparatus according to the 30th aspect, wherein the first reactive element and the second reactive element are capacitors, respectively.

According to a 33rd aspect of the present invention, there is provided a matching box for a plasma processing apparatus according to the 30th aspect, wherein the first reactive element and the second reactive element are so arranged that a straight line passing through a center axis of the second reactive element and a straight

line passing through a center axis of the first reactive element are generally coincident with each other.

According to a 34th aspect of the present invention, there is provided a matching box for a plasma processing apparatus according to the 30th aspect, wherein the high-frequency output terminal is the other end itself of the second reactive element.

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According to a 35th aspect of the present invention, there is provided a plasma processing method for generating plasma within a vacuum chamber and processing a substrate placed on a substrate electrode within the vacuum chamber the method comprision.

center axis of the high-frequency coupling device, a straight line passing through a center axis of the counter electrode or antenna, and a straight line passing through a center axis of the substrate as to be generally coincident together.

controlling interior of the vacuum chamber to a specified pressure by introducing a gas into the vacuum chamber and, simultaneously therewith, exhausting the interior of the vacuum chamber.

generating the plasma by applying a high-frequency power having a frequency of 50 MHz to 300 MHz to a counter electrode or antenna provided opposite to the

substrate via the matching box as defined in the 30th aspect and a high-frequency coupling device provided to connect a high-frequency output terminal of the matching box and the counter electrode or antenna to each other and

processing the substrate by using the generated plasma.

(IS THEN PROCESSED)

According to a 36th aspect of the present invention, there is provided a plasma processing method IN WHICH THE FOLLOWING ADDITIONAL STEPS ARE according to the 35th aspect, further comprising: V before Accomplished and the interior of the vacuum chamber to the specified pressure.

center axis of the high-frequency output terminal and a straight line passing through the center axis of the high-frequency coupling device as to be generally coincident with each other.

wherein the plasma is generated with the straight line passing through the center axis of the high-frequency output terminal and the straight line passing through the center axis of the high-frequency coupling device being generally coincident with each other.

According to a 37th aspect of the present invention, there is provided a plasma processing method according to the 35th aspect, further comprising before

MANTANN controlling the interior of the vacuum chamber to the

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specified pressure

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pressure, <

second reactive element with each other reactive element and the second reactive element with a straight line passing through a center axis of the second reactive element and a straight line passing through a center axis of the first reactive element are generally coincident with each other.

wherein the plasma is generated with the straight line passing through the center axis of the second reactive element and the straight line passing through the center axis of the first reactive element being generally coincident with each other.

According to a 38th aspect of the present invention, there is provided a plasma processing method according to the 35th aspect comprising before controlling the interior of the vacuum chamber to the specified

IS ARRANGED

arranging the high-frequency output terminal so as to be the other end itself of the second reactive element, AND

wherein the plasma is generated with the high-frequency output terminal being the other end itself of the second reactive element.

According to a 39th aspect of the present invention, there is provided a plasma processing method according to the 35th aspect, which before controlling

the interior of the vacuum chamber to the specified pressure, A

of the second reactive element to the counter electrode or antenna to be not more than 1/10 of wavelength of the high-frequency powers.

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wherein the plasma is generated with the substantial distance from the other end of the second reactive element to the counter electrode or antenna being not more than 1/10 of wavelength of the high-frequency power.

According to a 40th aspect of the present invention, there is provided a plasma processing method for generating plasma within a vacuum chamber and processing a substrate placed on a substrate electrode within the vacuum chamber, the method comprising:

center axis of the high-frequency coupling device, a straight line passing through a center axis of the counter electrode or antenna, and a straight line passing through a center axis of the substrate as to be generally coincident together;

controlling interior of the vacuum chamber to ^{V}a specified pressure by introducing a gas into the vacuum chamber and, simultaneously therewith, exhausting the

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IS GENERATED

interior of the vacuum chamber

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generating the plasma by applying a high-

frequency power having a frequency of 50 MHz to 300 MHz to a counter electrode or antenna provided opposite to the substrate via the matching box as defined in the 30th aspect and a high-frequency coupling device provided to connect a high-frequency output terminal of the matching box and the counter electrode or antenna to each other; and processing the substrate by using the generated plasma.

According to a 41st aspect of the present invention, there is provided a plasma processing method according to the 40th aspect, further comprising: before controlling the interior of the vacuum chamber to the specified pressure,

center axis of the high-frequency output terminal and a straight line passing through the center axis of the high-frequency coupling device as to be generally coincident with each other.

wherein the plasma is generated with the straight line passing through the center axis of the high-frequency output terminal and the straight line passing through the center axis of the high-frequency coupling device being generally coincident with each other.

According to a 42nd aspect of the present invention, there is provided a plasma processing method according to the 40th aspect, further comprising: before controlling the interior of the vacuum chamber to the specified pressure,

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second variable capacitor that a straight line passing through a center axis of the second variable capacitor and a straight line passing through a center axis of the first variable capacitor are generally coincident with each other.

wherein the plasma is generated with the straight line passing through the center axis of the second variable capacitor and the straight line passing through the center axis of the first variable capacitor being generally coincident with each other.

According to a 43rd aspect of the present invention, there is provided a plasma processing method according to the 40th aspect, comprising before controlling the interior of the vacuum chamber to the specified pressure,

arranging the high-frequency output terminal so as to be the other end itself of the second reactive element.

wherein the plasma is generated with the highfrequency output terminal being the other end itself of the second variable capacitor.

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According to a 44th aspect of the present invention, there is provided a plasma processing method according to the 40th aspect, further comprising: before controlling the interior of the vacuum chamber to the specified pressure, A

of the second variable capacitor to the counter electrode or antenna to be not more than 1/10 of wavelength of the high-frequency power.

wherein the plasma is generated with the substantial distance from the other end of the second variable capacitor to the counter electrode or antenna to be not more than 1/10 of wavelength of the high-frequency power.

According to a 45th aspect of the present invention, there is provided a plasma processing apparatus comprising:

Ca vacuum chamber;

a gas supply unit for supplying gas into the vacuum chamber;

an evacuating device for evacuating interior of the vacuum chamber;

a substrate electrode for placing thereon a substrate within the vacuum chamber;

a counter electrode or an antenna provided opposite to the substrate electrode;

high-frequency power supply capable of supplying a high-frequency power having a frequency of 50 MHz to 300 MHz to the counter electrode or antenna;

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the matching box as defined in the 30th aspect;

the high-frequency output terminal of the matching box and the counter electrode or antenna to each other.

wherein a straight line passing through a center axis of the high-frequency coupling device, a straight line passing through a center axis of the counter electrode or antenna, and a straight line passing through a center axis of the substrate are so arranged as to be generally coincident together.

According to a 46th aspect of the present invention, there is provided a plasma processing apparatus according to the 45th aspect, wherein a straight line passing through a center axis of the high-frequency output terminal and a straight line passing through the center axis of the high-frequency coupling device are so arranged as to be generally coincident with each other.

According to a 47th aspect of the present invention, there is provided a plasma processing apparatus

according to the 45th aspect, wherein the first reactive element and the second reactive element are so arranged that a straight line passing through a center axis of the second reactive element and a straight line passing through a center axis of the first reactive element are generally coincident with each other.

According to a 48th aspect of the present invention, there is provided a plasma processing apparatus according to the 45th aspect, wherein the high-frequency output terminal is the other end itself of the second reactive element.

According to a 49th aspect of the present invention, there is provided a plasma processing apparatus according to the 45th aspect, wherein substantial distance from the other end of the second reactive element to the counter electrode or antenna is not more than 1/10 of wavelength of the high-frequency power.

According to a 50th aspect of the present invention, there is provided a plasma processing apparatus comprising:

(a vacuum chamber;

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a gas supply unit for supplying gas into the vacuum chamber;

an evacuating device for evacuating vinterior of the vacuum chamber;

a substrate electrode for placing thereon a substrate within the vacuum chamber;

opposite to the substrate electrode;

A high-frequency power supply capable of supplying a high-frequency power having a frequency of 50 MHz to 300 MHz to the counter electrode or antenna;

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the matching box as defined in the 30th aspect;

a high-frequency coupling device for connecting the high-frequency output terminal of the matching box and the counter electrode or antenna to each other.

wherein A straight line passing through a center axis of the high-frequency coupling device, a straight line passing through a center axis of the counter electrode or antenna, and a straight line passing through a center axis of the substrate are so arranged as to be generally coincident together.

According to a 51st aspect of the present invention, there is provided a plasma processing apparatus according to the 50th aspect, wherein the plasma is generated while the straight line passing through the center axis of the high-frequency output terminal and the straight line passing through the center axis of the high-frequency coupling device are so arranged as to be generally

coincident with each other.

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According to a 52nd aspect of the present invention, there is provided a plasma processing apparatus according to the 50th aspect, wherein a first variable capacitor and a second variable capacitor are so arranged that a straight line passing through a center axis of the second variable capacitor and a straight line passing through a center axis of the first variable capacitor are generally coincident with each other.

According to a 53rd aspect of the present invention, there is provided a plasma processing apparatus according to the 50th aspect, wherein the high-frequency output terminal is the other end itself of the second variable capacitor.

15 According to a 54th aspect of the present invention, there is provided a plasma processing apparatus according to the 50th aspect, wherein substantial distance from the other end of the second variable capacitor to the counter electrode or antenna is not more than 1/10 of wayelength of the high-frequency power.

BRIEF DESCRIPTION OF THE DRAWINGS

These and other aspects and features of the present invention will become clear from the following description taken in conjunction with the preferred embodiments thereof with reference to the accompanying

drawings, in which:

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Fig. 1A is a sectional view showing the constitution of a plasma processing apparatus employed in a first embodiment of the present invention;

Fig. 1B is a plan view of plasma trap of the plasma processing apparatus of Fig. 1A;

Fig. 2 is a chart showing measuring results of ion saturation current density in the first embodiment of the present invention;

Fig. 3 is a sectional view showing the constitution of a plasma processing apparatus employed in a second embodiment of the present invention;

Fig. 4 is a sectional view showing the constitution of a plasma processing apparatus employed in a third embodiment of the present invention;

Fig. 5 is a sectional view showing the constitution of a plasma processing apparatus employed in a fourth embodiment of the present invention;

Fig. 6 is a sectional view showing the constitution of a plasma processing apparatus employed in a fifth embodiment of the present invention;

Fig. 7 is a sectional view showing the constitution of a plasma processing apparatus employed in a sixth embodiment of the present invention;

Fig. 8 is a sectional view showing the

constitution of a plasma processing apparatus employed in a seventh embodiment of the present invention;

Fig. 9 is a chart showing measuring results of ion saturation current density in the seventh embodiment of the present invention;

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Fig. 10 is a sectional view showing the constitution of a plasma processing apparatus employed in an eighth embodiment of the present invention;

Fig. 11 is a sectional view showing the constitution of a plasma processing apparatus employed in a ninth embodiment of the present invention;

Fig. 12 is a sectional view showing the constitution of a plasma processing apparatus employed in another embodiment of the present invention;

Fig. 13 is a sectional view showing the constitution of a plasma processing apparatus employed in another embodiment of the present invention;

Fig. 14 is a plan view of constitution of plasma traps employed in another embodiment of the present invention;

Fig. 15 is a sectional view showing the constitution of a plasma processing apparatus employed in a prior art example;

Fig. 16 is a sectional view showing the constitution of a plasma processing apparatus employed in a

prior art example;

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Fig. 17 is a chart showing measuring results of ion saturation current density in a prior art example;

Fig. 18 is a chart showing measuring results of ion saturation current density in a prior art example;

Fig. 19 is a sectional view showing the constitution of a plasma processing apparatus employed in a tenth embodiment of the present invention;

Fig. 20 is a chart showing measuring results of ion saturation current density in the tenth embodiment of the present invention;

Fig. 21 is a sectional view showing the constitution of a plasma processing apparatus employed in an eleventh embodiment of the present invention;

Fig. 22 is a sectional view showing the constitution of a plasma processing apparatus employed in a twelfth embodiment of the present invention;

Fig. 23 is a sectional view showing the constitution of a plasma processing apparatus employed in a thirteenth embodiment of the present invention;

Fig. 24 is a sectional view showing the constitution of a plasma processing apparatus employed in a prior art example;

Fig. 25 is a chart showing measuring results of ion saturation current density in the prior art example;

- Fig. 26 is a sectional view showing the constitution of a plasma processing apparatus employed in another prior art example;
- Fig. 27 is a sectional view showing the constitution of a plasma processing apparatus employed in a modification of the third embodiment of the present invention;

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- Fig. 28 is a sectional view showing the constitution of a plasma processing apparatus employed in a modification of the eighth embodiment of the present invention:
- Fig. 29 is a sectional view showing the constitution of a plasma processing apparatus where the plasma processing apparatus in the tenth embodiment of the present invention in Fig. 19 and the plasma processing apparatus in the modification of the third embodiment of the present invention in Fig. 27 are combined with each other; and
- constitution of a plasma processing apparatus where the plasma processing apparatus in the eleventh embodiment of the present invention in Fig. 21 and the plasma processing apparatus in the modification of the eighth embodiment of the present invention in Fig. 28 are combined with each other.

DETAILED DESCRIPTION OF THE PREFERRED EMBODIMENTS

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Before the description of the present invention proceeds, it is to be noted that like parts are designated by like reference numerals throughout the accompanying drawings.

Hereinbelow, embodiments according to the present invention are described in detail with reference to the accompanying drawings.

A first embodiment of the present invention is described below with reference to Figs. 1A, 1B, and 2.

1A shows a sectional view of a plasma Fig. processing apparatus employed in the first embodiment of the present invention. Referring to Fig 1A, interior of a vacuum chamber 1 is maintained (a specified pressure by introducing a specified gas from a gas supply 15 into the vacuum chamber 1 and simultaneously performing evacuation by a pump 3 as an evacuating device, a high-frequency power of 100 MHz is supplied to a counter electrode 5 by a counter-electrode-use-high-frequency power supply 4. Then, plasma is generated in the vacuum chamber 20 1, where plasma processing such as etching, deposition, and surface reforming can be carried out on a substrate 7 placed on a substrate electrode 6. A substrate-electrodeuse-high-frequency power supply 8 for supplying high-25 frequency power to the substrate electrode 6 is also

provided, so that ion energy that reaches the substrate 7 can be controlled. Also, an annular, groove-like plasma trap 9 shown in Figs. 1A and 1B is provided opposite to the substrate 7, making it possible to process the substrate 7 while the plasma distribution on the substrate 7 The plasma trap 9 is provided in the counter controlled. Out of surfaces forming inner wall surfaces electrode 5. AN ELECTROPE of the vacuum chamber 1 and opposing (to) the substrate 7, portion 10 (cross hatched portion) surrounded by the plasma trap 9 has an area 0.8 time that of the substrate 7, as one example. Also, the groove width of the plasma trap 9 is 10 mm, and the groove depth of the plasma 9 is 15 mm, as one In addition, the counter electrode 5 is insulated example. from the vacuum chamber 1 by an insulating ring 11.

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Fig. 2 shows measuring results of ion saturation current density at a position 20 mm just above the substrate 7. Conditions for plasma generation are gas type of Cl₂ and gas flow rate of 100 sccm, a pressure of 1 Pa, and a high-frequency power of 2 kW, as one example. It can be understood from Fig. 2 that the tendency that plasma would be richer in peripheral regions as shown in Fig. 17 is suppressed, and that uniform plasma is generated.

The reason why the uniformity of plasma is improved like this as compared with the plasma processing apparatus shown in Fig. 15 of the prior art example could

be considered as follows. Electromagnetic waves radiated from the counter electrode 5 are intensified by the plasma

trap 9. Also, since plasma of low electron temperature tends to cause hollow cathode discharge, high density plasma (hollow cathode discharge) is more likely to be generated by the plasma trap 9 surrounded by the solid surfaces. Accordingly, in the vacuum chamber 1, plasma density becomes the highest at the plasma trap 9, and through transport of plasma to vicinities of the substrate 7 by diffusion, uniform plasma can be obtained.

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In addition, the hollow cathode discharge is as described below. Generally, because a solid surface in contact with plasma is negatively charged due to differences in thermal motion velocity between electrons and ions, DC electric fields that repel electrons from the solid surface are generated on the solid surface. space surrounded by solid surfaces, as in the plasma trap 9 illustrated the first embodiment of the present invention, the probability (at which electrons collide with the solid surfaces is lowered by the presence of the DC electric fields, making the life of the electrons. to As a result, high-density plasma is generated prolonged. in the plasma trap 9. Such a discharge is referred to as hollow cathode discharge.

The first embodiment of the present invention has

been described above the case where the plasma trap 9 is provided in the counter electrode 5. In this case, however,

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there is a possibility that a self-bias voltage developed the counter electrode 5 causes high-density ions present in the plasma trap 9 to collide with the counter electrode enerlgy that sputtering of the electrode 5 may occur. The sputtering of the counter electrode 5 may (cause) shortened life of the electrode 5 or mix (ef) impurities into the substrate 7, thus being undesirable. This can be avoided by providing the plasma trap in portions other than the counter For example, the plasma trap 9 may be electrode 5. provided in the insulating ring 11 as shown in a second embodiment of Fig. 3. Also, the plasma trap 9 may be provided outside the insulating ring 11, that is, in a metallic upper wall la of the vacuum chamber 1 as shown in a third embodiment of Fig. 4. Further, (also) when the plasma trap 9 is provided between the counter electrode 5 and the insulating ring 11 as shown in a fourth embodiment of Fig. 5 or a fifth embodiment of Fig. 6, improvement can be attained more or less. Furthermore, the plasma trap 9 may be provided between the upper wall la of the vacuum chamber 1 and the insulating ring 11 as shown in a sixth embodiment of Fig. 7.

In Fig. 1A, the plasma trap 9 is defined by three

faces, that is, an inner face, an upper face, and an outer face of the counter electrode 5. In Fig. 3, the plasma trap 9 is defined by three faces, that is, an inner face, an upper face, and an outer face of the insulating ring 11. In Fig. 4, the plasma trap 9 is defined by three faces, 5 that is, an inner face, an upper face, and an outer face of the upper wall la of the vacuum chamber 1. In Fig. FORMED ON plasma trap 9 is defined by an inner face of the electrode 5 and an upper face and an outer face of the 10 insulating ring 11. In Fig. 6, the plasma trap defined by an inner face and an upper face **c**ounter FORMED ON electrode 5 and an outer face of the insulating ring 11 and the upper wall la of the vacuum chamber 1/. In Fig. 7, the FORMED ON plasma trap 9 is defined by an inner face of the FORMES ON ring 11 and an upper face and an outer face 15 the upper wall la of the vacuum chamber 1.

Next, a seventh embodiment of the present invention is described with reference to Figs. 8 and 9.

processing apparatus employed in the seventh embodiment of the present invention. Referring to Fig. 8, while interior of a vacuum chamber 1 is maintained to a specified pressure by introducing a specified gas from a gas supply unit 2 into the vacuum chamber 1 and simultaneously performing evacuation by a pump 3 as an evacuating device, a high-

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current density at

frequency power of 100 MHz is supplied to a spiral antenna 13 by an antenna-use-high-frequency power supply 12, electromagnetic waves are radiated into the vacuum chamber 1 via a dielectric window 14 provided opposite substrate 7 placed on the substrate electrode 6. Then, plasma is generated in the vacuum chamber 1, where plasma processing such as etching, deposition, and surface reforming can be carried out on the substrate 7. (Bosides substrate-electrode-use-high-frequency power supply 8 for supplying high-frequency power to the substrate electrode 6 is provided, so that ion energy that reaches the substrate 7 can be controlled. Also, an annular, groove-like plasma trap 9 provided opposite to the substrate 7 makes possible to process the substrate 7 while the distribution on the substrate 7 is controlled. The plasma trap 9 is provided in the dielectric window FORMED IN defined by an inner, an upper, and an outer faces of the dielectric window 14. Out of surfaces of the vacuum chamber 1 opposing (40) the substrate 7, a portion 10 (cross hatched portion) surrounded by the plasma trap 9 has an area 0.8 time that of the substrate 7, as one example. Also, the groove width of the plasma trap 9 is 10 mm, and the groove depth of the plasma vo is 15 mm, as one example. Fig. 9 shows measuring results of ion saturation

a position 20 mm

just\ above

substrate 7. Conditions for plasma generation are gas type of Cl_2 and gas flow rate of 100 sccm, a pressure of 1 Pa,

and a high-frequency power of 2 kW, as one example. It can be understood from Fig. 9 that the tendency that plasma would be richer in peripheral regions as shown in Fig. 18 is suppressed, and that uniform plasma is generated.

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reason why the uniformity of plasma is improved like this as compared with the plasma processing apparatus shown in Fig. 16 of the prior art example could be considered as follows. Electromagnetic waves radiated from the spiral antenna 13 are intensified by the plasma Also, since plasma of low electron temperature tends to cause hollow cathode discharge, high density plasma (hollow cathode discharge) is more likely to be generated by the plasma trap 9 surrounded by the solid surfaces. Accordingly, in the vacuum chamber 1, plasma density becomes the highest at the plasma trap 9, through transport of plasma to vicinities of the substrate 7 by diffusion, uniform plasma can be obtained.

The seventh embodiment of the present invention has been described above on the case where the plasma trap 9 is provided in the dielectric window 14. However, the plasma trap 9 may also be provided outside the dielectric window 14 so as to be defined by three faces, that is, an inner face, an upper face, and an outer face of the upper

AS CAN GE AKE DED IN WHER SURFACE OF MHE VACUUM CHAMBER SO THAT THE OTTER DIAMETER OF THE PLASMA TRAP IS LESS THAN THE INNER SIDE SURFACE DIAMETER OF THE VACUUM CHAMBER, AND A MITALUC SURTAGE PORTION 19 15 FORMED BETWEEN OF THE PLANMA TRAP AND THE INNER SIDE SURFACE THE the vacuum chamber 1 as shown in an eighth of D)C embodiment of Fig. 10. Further, the plasma trap 9 may be provided between the vacuum chamber 1 and the dielectric My rilled window 14 so as to be defined by three faces, that is, an inner face of the dielectric window 14 (and FORMED B7 an outer face the upper wall la of the vacuum chamber 1 as shown in a nighth embodiment of Fig. 11. The foregoing embodiments of the present invention as described above are given only by way of example as part of many variations of the configuration of 10 the vacuum chamber 1, the configuration and arrangement of the counter electrode 6 or antenna 13, the configuration and arrangement of the dielectric 14, and the configuration and arrangement of the plasma trap 9, application scope of the present invention. 15 It is needless to say that the present invention may be applied in other various ways besides the examples given above. For example, whereas the foregoing embodiments have been described on the case where the counter electrode 6 is circular shaped, the counter electrode may also be formed in a polygonal, 20 elliptical, or other shape. Also, whereas wach of the foregoing cases has been that the antenna 13 is shaped, the antenna may be formed in a flat-plate, spoke, or other shape. Otherwise, the present invention may also

be applied to a surface-wave plasma processing apparatus

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having a cavity resonator 15, as shown in Fig. 12, where the cavity resonator 15 is regarded as an antenna.

Furthermore, the present invention may be applied to a surface-wave plasma processing apparatus having a cavity resonator 15 and a slot antenna 16, as shown in Fig. 13.

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foregoing embodiments of the present invention have been described the case where the plasma trap 9 is annular shaped. However, the plasma trap 9 may also be formed into a polygonal, elliptical, or other shape in accordance with the configuration of the substrate 7. Otherwise, the plasma trap 9 may be formed into a shape that is not a closed annular shape but a divisional, yet generally annular shape as shown by the plan view of Fig. The above various kinds of (the) arrangement of the 14. plasma trap 9 in Figs. 8, 10, and 11 etc. can be applied to the apparatus of Figs. 12 and 13.

Further, whereas the first or seventh embodiment of the present invention has been described the case where a high-frequency power of 100 MHz is supplied to the counter electrode 6 or antenna 13, the frequency is not limited to this and the present invention is effective for plasma processing method and apparatus using frequencies of 50 MHz to 3 GHz.

Also, each of the first to seventh embodiments of the present invention has been described in the case where,

out of surfaces forming the inner wall surfaces of the vacuum chamber 1 and opposing the substrate 7, the area of the portion surrounded by the plasma trap 9 is 0.8 time the area of the substrate 7. However, it is desirable that the area of this portion be 0.5 - 2.5 times the area of the 5 substrate 7. If the area of this portion is less than 0.5 $time^{t}$ the area of the substrate 7, it is difficult to obtain uniform plasma in vicinities of the substrate 7 even with a sufficient distance between the substrate 7 and the plasma 10 Also, if the area of this portion is over 2.5 trap 9. times the area of the substrate 7, it is necessary to keep an extremely large distance between the substrate 7 and the plasma trap 9 in order to obtain uniform plasma vicinities of the substrate 7. This, undesirably, would cause the apparatus to be increased in size, and excessive 15 burden be imposed on the pump 3 to hold the interior of the vacuum chamber 1 at a low pressure. For example, when the substrate has a diameter of 300 mm and the plasma trap has a diameter of 200 mm, the area of this portion 20 surrounded by the plasma trap is 0.5 times the area of the substrate. When the substrate has a diameter of 300 mm and the plasma trap has a diameter of 300 mm, the area of this portion surrounded by the plasma trap is 2.5 times the area of the substrate.

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Also, each of the first to seventh embodiments of

the present invention has been described in the case where the groove width of the plasma trap 9 is 10 mm. However, it is desirable that the groove width of the plasma trap 9 be within a range of 3 mm - 50 mm. If the groove width is less than 3 mm, or over 50 mm, there is a possibility that hollow cathode discharge does not occur by the plasma trap 9.

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described on the case where the groove of the plasma trap 9 is rectangular section-shaped, the groove sectional shape may be U-shaped, V-shaped, or of a shape in combination of rectangular shape, U-shape, and V-shape.

Also, each of the first to seventh embodiments of the present invention has been described on the case where the groove depth of the plasma trap 9 is 15 mm. However, it is desirable that the groove depth of the plasma trap 9 be not less than 5 mm. If the groove depth is less than 5 mm, there is a possibility that hollow cathode discharge does not occur.

The plasma processing apparatus of the third embodiment in Figs. 4 and 10 may be applied in a case where the area surrounded by the plasma trap 9 is larger than the area of the substrate 7. In this case, it is suitable to use per-fluorocarbon gas such as CF_4 gas, C_2F_6 gas, C_4F_8 gas, C_5F_8 gas, etc. or hydro-fluorocarbon such as CH_3 gas, CH_2F_2 ,

etc.

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On the other hand, a plasma processing apparatus of a modification of the third embodiment in Fig. 27 and a plasma processing apparatus of a modification of the eighth embodiment in Fig. 28 may be applied in a case where the area surrounded by the plasma trap 9 is not larger than the area of the substrate 7. In this case, it is suitable to use Boron-based gas such as HBr gas, or chlorin based gas such as Cl₂ gas, BCl₃ gas, HCl gas etc.

Please note that although it is described as one example that the using gas is applied depending on the area surrounded by the plasma trap, the optimum selection of the using gas is not limited to this better the optimum condition can be determined while referring to pressure, power, mixed gas, and the like because the optimum selection of the using gas depends on the conditions such as pressure, power, mixed gas, and the like.

Also, the foregoing embodiments of the present invention have been described the case where DC magnetic fields are absent in the vacuum chamber 1. However, the present invention is also effective for cases where such large DC magnetic fields as to allow high-frequency power to penetrate into the plasma are absent, for example, a case where small DC magnetic fields on the order of several tens gausses are used for improvement in ignitability. Yet,

the present invention is particularly effective for cases where DC magnetic fields are absent in the vacuum chamber 1.

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apparent As from the above description, plasma processing method of the present invention generating plasma within a vacuum chamber and processing a substrate placed on a substrate electrode within the vacuum method) comprises (;) generating the plasma by chamber supplying a high-frequency power having a frequency of 50 MHz to 3 GHz to a counter electrode provided opposite to substrate while interior of the vacuum chamber is MAINIAN controlled to a specified pressure by introducing gas into the vacuum chamber.)and Simultaneously cuating) the interior the 15 processes vacuum chamber (5) processing The substrate by using the generated plasma while (plasma) distribution of the plasma on the substrate is controlled by an annular, groove-like plasma trap provided opposite to the substrate. Thus, because the substrate is processed while the plasma distribution on the substrate is controlled by the annular, groove-like plasma trap provided opposite to the substrate, uniform plasma can be generated so that the substrate can be uniformly processed.

Also, the plasma processing method of the present invention for generating plasma within a vacuum chamber and processing a substrate placed on a substrate electrode within the vacuum chamber the method comprises generating

the plasma by radiating electromagnetic waves into the vacuum chamber via a dielectric window provided opposite to the substrate by supplying a high-frequency power having a frequency of 50 MHz to 3 GHz to an antenna while interior of the vacuum chamber is controlled to a specified pressure

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introducing gas into the vacuum chamber. and) Simultaneously therewith, evacuating the interior vacuum chamber and processing substrate by generated plasma while plasma distribution of the plasma on the substrate is controlled by an annular, groove-like plasma trap provided opposite to the substrate. In this method, if the substrate is processed while the plasma distribution on the substrate is controlled by the annular, groove-like plasma trap provided opposite to the substrate, uniform plasma can be generated so that the substrate can be uniformly processed.

the plasma processing apparatus of present invention comprises; a vacuum chamber; a gas supply supplying qas into /the vacuum chamber; evacuating device for evacuating vinterior of chamber: substrate electrode for placing thereon substrate within the vacuum chamber; a counter electrode provided opposite to the substrate electrode; high-frequency power supply capable of supplying a high-frequency power having a frequency of 50 MHz to 3 GHz to the counter

electrode; and an annular, groove-like plasma trap provided opposite to the substrate. Thus, uniform plasma can be generated so that the substrate can be uniformly processed.

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Also, the plasma processing apparatus of present invention comprises (:) a vacuum chamber; a gas supply for supplying gas into the \ vacuum chamber; unit evacuating Yinterior of the vacuum evacuating device for chamber; a substrate electrode for placing thereon substrate within the vacuum chamber; a dielectric window provided opposite to the substrate electrode; an antenna for radiating electromagnetic waves into the vacuum chamber via the dielectric window bigh-frequency power supply capable of supplying a high-frequency power having a frequency of 50 MHz to 3 GHz to the antenna; and an annular, groove-like plasma trap provided opposite to the substrate. uniform plasma can be generated so that the substrate can be uniformly processed.

Now, a tenth embodiment of the present invention is described below with reference to Figs. 19 and 20.

Fig. 19 shows a sectional view of a plasma 20 processing apparatus employed in the tenth embodiment of Referring Fig. 19, while present invention. to the a vacuum chamber 101 maintained, is interior of specified pressure by introducing a specified gas from a gas supply unit 102 into the vacuum chamber 25

simultaneously performing evacuation by a pump 103 as an evacuating device, a high-frequency power of 100 MHz supplied to a counter electrode 107 by a counter-electrodeuse-high-frequency power supply 104 via a matching box 105 and a high-frequency coupling device (mount) 106. plasma is generated in the vacuum chamber 101, where plasma etching, deposition, and surface processing such as reforming can be carried out on a substrate 109 placed on a substrate electrode 108. A substrate-electrode-use-highfrequency power supply 110 for supplying high-frequency power to the substrate electrode 108 is also provided, so that ion energy that reaches the substrate 109 can be In addition, the counter electrode 107 is controlled. insulated from the vacuum chamber 101 by an insulating ring 111.

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The matching box 105, which is used to take impedance matching in supplying high-frequency power to the counter electrode 107 as a load, comprises a high-frequency input terminal 112, a first variable capacitor 113, a high-frequency output terminal 114, a second variable capacitor 115, a first motor 116, a second motor 117, and a motor control circuit 118. One end of the first variable capacitor 113 is connected to the high-frequency input terminal 112, the other end being connected to the matching box casing 105a, and one end of the second variable

capacitor 115 is connected to the high-frequency input terminal 112, the other end being connected to the highfrequency output terminal 114. Also, a straight forming the center axis of the second variable capacitor 115, a straight line forming the center axis of the highfrequency output terminal 114, a straight line forming the center axis of the high-frequency coupling device (mount) 106, a straight line forming the center axis of the counter electrode 107, and a straight line forming the center axis of the substrate 109 are arranged so as to be generally coincident together. Also, the first variable capacitor 113 and the second variable capacitor 115 are (so (arranged) that the straight line forming the center axis of the second variable capacitor 115 and a straight line forming the center axis of the first variable capacitor 113 are generally coincident with each other. Further, substantial distance 19 from the other end of the second variable capacitor 115 to the counter electrode 107 is 1/15 (20 cm) of the wavelength (3 m) of the high-frequency power, as one example.

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Fig. 20 shows results of measuring ion saturation current density at a position 20 mm just above the substrate 109. Conditions for plasma generation are gas type of Cl₂ and gas flow rate of 100 sccm, a pressure of 2 Pa, and a high-frequency power of 1 kW, as one example.

Also, Fig. 19 shows the measuring position in Fig. 20. It can be understood from Fig. 20 that nonuniformity of plasma as shown in Fig. 25, where plasma density is higher on one side of the measuring position, cannot be seen.

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The reason why the uniformity of plasma improved like this as compared with the plasma processing apparatus shown in Fig. 24 of the prior art example could be considered as follows. In the case where a highfrequency power of 50 MHz or higher is used, there develops a potential distribution in the counter electrode 107 under the effect of the arrangement of the second variable capacitor 115 within the matching box 105. However, in the tenth embodiment of the present invention, the potential distribution developed on the counter étectrode 107 becomes concentric because of the arrangement va st/raight line forming the center axis of the second variable capacitor 115, a straight line forming the center axis of the highfrequency output terminal 114, a straight line forming the center axis of the high-frequency coupling device (mount) 106, a straight line forming the center axis of the counter electrode 107, and a straight line forming the center axis of the substrate 109 are generally coincident together. a result, the electric fields within the vacuum chamber 101 also become concentric so that the uniformity of plasma can be improved.

The foregoing tenth embodiment of the present invention has been described on the case where the counter electrode 107 is used to generate plasma. However, the present invention is also effective for cases where a spiral antenna 120 is used as in an eleventh embodiment of the present invention shown in Fig. 21. In addition, in the eleventh embodiment of the present invention shown in Fig. 21, a dielectric window 121 is used.

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Also, foregoing the tenth and eleventh embodiments of the present invention are given only by way of example as part of many variations of the configuration the vacuum chamber 101, the configuration and arrangement of the counter electrode 107 or antenna 120, the configuration and arrangement of the dielectric 121, and the like within the application scope of the present is needless to say that the present It invention may be applied in other various ways besides the For example, examples given above. whereas the tenth FOR embodiment of the present invention has been described on case where the counter electrode 107 is circular shaped, the counter electrode may also be formed in a polygonal, the foregoing elliptical, or other shape. Also, whereas case has been that the antenna 120 is (*) spiral shaped, the antenna may be formed in a flat-plate, spoke, or other shape.

The foregoing tenth and eleventh embodiments of the present invention have been described in the case where the high-frequency power of 100 MHz is supplied to the counter electrode 107 or antenna 1200, the frequency is not limited to this and the present invention is effective for cases where frequencies of 50 MHz to 300 MHz are used. If the frequency is lower than 50 MHz, the uniformity of plasma can be easily obtained even without applying the present invention. Also, if the frequency is higher than 300 MHz, it is difficult to take impedance matching by using two variable capacitors, giving rise to a need taking impedance matching by stubs.

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Also, the tenth and eleventh embodiments of the present invention have been described of a case where the first variable capacitor and the second variable capacitor are (so (arranged) that the straight line forming the center axis of the second variable capacitor and the straight line forming the center axis of the first variable capacitor are generally coincident with each other, However, because the potential distribution developed to the counter electrode 107 is affected primarily by the arrangement of the second variable capacitor, the uniformity of plasma is greatly improved, as compared with the prior art; also when the line forming the center axis of straight the variable capacitor 115 and the straight line forming the

center axis of the first variable capacitor 113 are not coincident with each other as in a twelfth embodiment of the present invention shown in Fig. 22. Such constitution as shown in Fig. 22 is effective for cases matching box needs to be the downsized, constitution being included in the application scope of the present invention.

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Also, the twelfth embodiment of the present invention has been described on the case where the matching box has variable capacitors by way of example. However, the present invention produces similar effects also with a matching box having reactive elements such as variable inductors, fixed capacitors, or fixed inductors.

Also, whereas the twelfth embodiment has been described on the case where the other end of the second variable capacitor 115 and the high-frequency output terminal are provided as separate members. However, the high-frequency output terminal 114 may be provided as the other end of the second variable capacitor 115 itself, as in a thirteenth embodiment of the present invention shown in Fig. 23.

Also, the tenth embodiment of the present invention has been described the case where substantial distance from the other end of the second variable capacitor 115 to the counter electrode 107 is 1/15

of the wavelength of the high-frequency power. Ιt is desirable that the substantial distance from the other end second variable capacitor 115 to the electrode 107 or antenna 120 be 1/10 or less wavelength of the high-frequency power. If the substantial from the other end of the second variable capacitor 115 to the counter electrode 107 or antenna is larger than 1/10 of the wavelength of the high-frequency power, the inductance from the other end of the second variable capacitor 115 to the counter electrode 107 or antenna becomes too large, making it difficult to take

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In the foregoing embodiments any one of the embodiments can be combined with any one of the embodiments. For example, Fig. 29 is a sectional view showing constitution of a plasma processing apparatus where the plasma processing apparatus in the tenth embodiment of the present invention in Fig. 19 and the plasma processing apparatus in the modification of the third embodiment of the present invention in Fig. 27 are combined with each other. Fig. 30 is sectional view showing the constitution of a plasma processing apparatus where plasma processing apparatus in the eleventh embodiment of the present invention in Fig. 21 and the plasma processing apparatus in the modification of the eighth embodiment of

impedance matching with two variable capacitors.

the present invention in Fig. 28 are combined with each other. Such a combination can obtain the both of the effects of the combined embodiments.

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As apparent from the above \description, matching box of the present invention $\forall f \not \sigma r$ use in a plasma processing apparatus and for taking impedance matching in supplying high-frequency power to a load the matching box comprises () a high-frequency input terminal; first reactive element having one end connected to the highfrequency input terminal and the other end connected to a matching box casing; a high-frequency output terminal; and a second reactive element having one end connected to the high-frequency input terminal and the other end connected to the high-frequency output terminal, wherein reactive element and the high-frequency output terminal are (so/arranged) that the second reactive element is located on a straight line passing through a center axis of the highfrequency output terminal. Thus, uniform plasma can be generated so that the substrate can be uniformly processed.

Also, the matching box of the present invention for use in a plasma processing apparatus and for taking impedance matching in supplying high-frequency power to a load, the matching box comprises: a high-frequency input terminal; a first variable capacitor having one end connected to the high-frequency input terminal and the

other end connected to a matching box casing; a high-frequency output terminal; and a second variable capacitor

having one end connected to the high-frequency terminal and the other end connected to the high-frequency wherein the second variable capacitor and output terminal the high-frequency output terminal are so (arranged) that the second variable capacitor is located on a straight line passing through a center axis of the high-frequency output Thus, uniform plasma can be generated so that terminal. the substrate can be uniformly processed.

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Also, the plasma processing method of the present invention (for generating plasma within a vacuum chamber and processing a substrate placed on a substrate electrode). the within vacuum chambe/p? method comprises. arranging a straight line passing through a center axis of the high-frequency coupling device, a straight line passing through a center axis of the counter electrode or antenna, and a straight line passing through a center axis of the substrate be generally controlling vinterior of the vacuum chamber to a specified pressure by introducing a gas into the vacuum chamber and, simultaneously therewith, exhausting the interior of the generating the plasma Vby applying a highvacuum chamber; frequency power having a frequency of 50 MHz to 300 MHz to a counter electrode or antenna provided opposite to

substrate via the matching box as defined in Claim 20 and a high-frequency coupling device provided to connect a high-

frequency output terminal of the matching box and the counter electrode or antenna to each other; and processing the substrate by using the generated plasma. Thus, uniform plasma can be generated so that the substrate can be uniformly processed.

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Also, the plasma processing method of the present invention of generating plasma within a vacuum chamber and processing a substrate placed on a substrate electrode the vacuum chamber, the method comprises: arranging a straight line passing through a center axis of the high-frequency coupling device, a straight line passing through a center axis of the counter electrode or antenna, and a straight line passing through a center axis of the be generally coincident controlling interior of the vacuum chamber to a specified pressure by introducing a gas into the vacuum chamber and, simultaneously therewith, exhausting interior of the the plasma by applying a highfrequency power having a frequency of 50 MHz to 300 MHz to a counter electrode or antenna provided opposite to the substrate via the matching box as defined in Claim 30, and a high-frequency coupling device vprovided to connect a highfrequency output terminal of the matching box and

the substrate by using the generated plasma. Thus, uniform plasma can be generated so that the substrate can be uniformly processed.

5 Also, the plasma processing apparatus comprises a vacuum chamber; a gas supply unit for supplying gas into vacuum chamber; an evacuating device for evacuating interior of the vacuum chamber; a substrate electrode for placing thereon a substrate within the vacuum chamber; a 10 counter electrode or an antenna provided opposite to the substrate electrode / vhigh-frequency power supply capable of supplying a high-frequency power having a frequency of 50 MHz to 300 MHz to the counter electrode or antenna; the matching box as defined in the 28th aspect; and a high-15 frequency coupling device for connecting the high-frequency output terminal of the matching box and the electrode or antenna to each other, wherein A straight line passing through a axis of the high-frequency center coupling device, a straight line passing through a center 20 axis of the counter electrode or antenna, and a straight line passing through a center axis of the substrate are so $J_{
m as}$ to be generally coincident together. uniform plasma can be generated so that the substrate can be uniformly processed.

Also, the plasma processing apparatus comprises:

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a vacuum chamber; a gas supply unit for supplying gas into the vacuum chamber; an evacuating device for evacuating Vinterior of the vacuum chamber; a substrate electrode for placing thereon a substrate within the vacuum chamber; a counter electrode or an antenna provided opposite to the substrate electrode; high-frequency power supply capable of supplying a high-frequency power having a frequency of 50 MHz to 300 MHz to the counter electrode or antenna; the matching box as defined in the 33rd aspect; and a highfrequency coupling device for connecting the high-frequency terminal of the matching box and \the counter electrode or antenna to each other wherein Astraight line passing through a center axis of the high-frequency coupling device, a straight line passing through a center axis of the counter electrode or antenna, and a straight line passing through a center axis of the substrate are vso arranged) as to be generally coincident together. uniform plasma can be generated so that the substrate can be uniformly processed.

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Although the present invention has been fully described in connection with the preferred embodiments thereof with reference to the accompanying drawings, it is to be noted that various changes and modifications are apparent to those skilled in the art. Such changes and modifications are to be understood as included within the

scope of the present invention as defined by the appended claims unless they depart therefrom.

ABSTRACT OF THE DISCLOSURE

While Vinterior of a vacuum chamber is maintained

the vacuum chamber having a plasma trap provided therein.

and Simultaneously therewith performing evacuation by a

pump as an evacuating device, va high-frequency power of 100 MHz is supplied to a counter electrode by counter-electrode use high-frequency power supply. Thus, uniform plasma is chamber, where plasma generated within the vacuum processing such etching, deposition, and surface as reforming can be carried out uniformly with a substrate placed on a substrate electrode.

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